

1734/6

PTO/SB/17 (05-03)

Approved for use through 04/30/2003. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003, Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)**930.00**

Complete if Known

Application Number 09/610,572
Filing Date July 5, 2000
First Named Inventor Shigeru Tamai
Examiner Name C. Hawkins
Art Unit 1734
Attorney Docket No. SNC-0002

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JUN 17 2003
TC 1706

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account

Deposit Account Number

18-0013

Deposit Account Name

Rader, Fishman & Grauer PLLC

The Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☐ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$)**0.00**

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

			Extra Claims	Fee from below	Fee Paid
Total Claims	16	-20** =		x	0.00
Independent Claims	6	-6** =		x	0.00
Multiple Dependent					0.00

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)**0.00**

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	930.00
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**930.00**

SUBMITTED BY

Name (Print/Type) Carl Schaukowitch

Registration No. (Attorney/Agent)

29,211

Complete (if applicable)

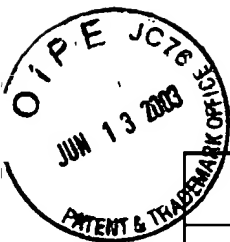
Telephone (202) 955-3750

Signature

Carl Schaukowitch

Date

June 13, 2003



AMENDMENT TRANSMITTAL LETTER

Docket No.
SNC-0002

Application No.
09/610,572

Filing Date
July 5, 2000

Examiner
C. Hawkins

Art Unit
1734

Applicant(s): Shigeru TAMAI

Invention: COAT FILM TRANSFER HEAD DEVICE AND COAT FILM TRANSFER TOOL

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

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TC 1700

CLAIMS AS AMENDED

	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate	
Total Claims	16	- 20 =		x	0.00
Independent Claims	6	- 6 =		x	0.00
Multiple Dependent Claims (check if applicable) <input checked="" type="checkbox"/>					0.00
Other fee (please specify): Extension for response within third month					930.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:					930.00

☒ Large Entity

☐ Small Entity

☐ No additional fee is required for this amendment.

☒ Please charge Deposit Account No. 18-0013 in the amount of \$ 930.00.
A duplicate copy of this sheet is enclosed.

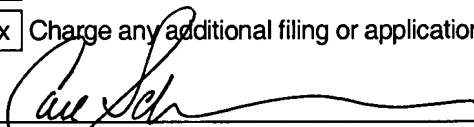
☐ A check in the amount of \$ _____ to cover the filing fee is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☒ The Director is hereby authorized to charge and credit Deposit Account No. 18-0013
as described below. A duplicate copy of this sheet is enclosed.

☒ Credit any overpayment.

☒ Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.


David T. Nikaido
Attorney Reg. No.: 22,663

Dated: June 13, 2003

Carl Schaukowitch
Attorney Reg. No.: 29,211

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TC 1700

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Shigeru TAMAI

Application No.: 09/610,572

Filed: July 5, 2000

For: COAT FILM TRANSFER HEAD DEVICE AND COAT FILM TRANSFER TOOL

Attorney Docket No.: SNC-0002

Examiner: C. Hawkins

Art Unit: 1734

Confirmation No. 4419

#9/B
N.L.H.
6-1803

AMENDMENT UNDER 37 C.F.R. §1.111

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

In response to the Office Action dated December 13, 2002, the period for response being extended to June 13, 2003, by the Petition for Extension of Time filed herewith, please amend the above-identified application as follows:

IN THE CLAIMS:

Please amend claims 1, 7 and 9-12 as set forth below in clean form. Additionally, in accordance with 37 CFR 1.121(c)(1)(ii), the amended claim(s) are set forth in a marked-up version in the page(s) attached to this Amendment.

1. (TWICE AMENDED) A coat film transfer head device adapted for use with and disposed at a leading end portion of a coat film transfer tool for pressing a coat film transfer tape onto an object of transfer, comprising:

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a head main body for pressing and transferring the coat film transfer tape,
a head holder for supporting the head main body rotatably about its axial center, and

a rotating operation unit fixedly connected to the head main body for positioning said head main body in a rotating direction,

wherein the rotating operation unit serves also as a head position indicating unit for indicating the tape pressing and transferring position of the head main body.